

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Steven M. Bloom et al. Art Unit : 3624
Serial No. : 10/077,182 Examiner : Sara M. Chandler
Filed : February 15, 2002 Conf. No. : 9522
Title : BALANCING ARBITRAGABLE TRACKING SECURITIES

MAIL STOP RCE

Commissioner for Patents
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INFORMATION DISCLOSURE STATEMENT

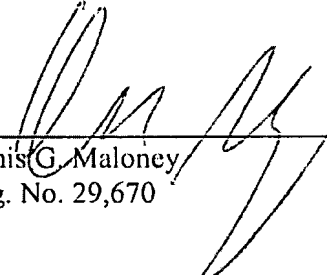
Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being made with the filing of a Request for Continued Examination. No fee is required.

Respectfully submitted,

Date: _____

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I hereby certify that this paper was filed with the Patent and Trademark Office using the EFS-WEB system on this date: October , 2007.